

JC985 U.S. PTO
10/60/40A
1017 U.S. PTO
09/829848
04/09/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **M-5593-2C US**First Named Inventor or Application Identifier **Jonathan D. Reid, et al.**Title **Electroplating Process Chamber And Method With Pre-Wetting And Rinsing Capability**Express Mail Label No. **EL 710 206 326 US**

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i> (Submit an original, and a duplicate for fee processing)</p> <p>2. Application:</p> <p><input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 10 pages) Appendix(es) <u> </u>, & <u> </u> (pages)</p> <p><input checked="" type="checkbox"/> Claim(s) <u>4</u> pages</p> <p><input checked="" type="checkbox"/> Abstract of the Disclosure <u>1</u> page</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <u> </u> [Total Sheets <u>1</u>]</p> <p>4. Oath or Declaration <input type="checkbox"/> unsigned <u> </u> [Total Pages <u>3</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed) c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of <u> </u> pages of microfiche containing frames on each page in accompanying envelope.</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p>			
ACCOMPANYING APPLICATION PARTS			
<p>8. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <u> </u> pages</p> <p>9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (combined when there is an <u> </u> with Patent Declaration Assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> 17 Copies of IDS Statement (IDS) & <input type="checkbox"/> PTO-1449 Citations/References</p> <p>12. <input checked="" type="checkbox"/> Preliminary Amendment <u>4</u> pages</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)</p> <p>14. Small Entity Status <input type="checkbox"/> Small Entity Statement Enclosed <u> </u> pages <input type="checkbox"/> Statement filed in prior application; and status still proper and desired <input type="checkbox"/> Is no longer claimed.</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: <input checked="" type="checkbox"/> Request and Certification Under 35 USC 122(b)(2)(B)(I) <input checked="" type="checkbox"/> Submission of Formal Drawings (1 page) <input checked="" type="checkbox"/> 1 sheet formal drawing (Fig. 1).</p>			
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional of prior application No. <u>09/374,253</u> Filed on <u>August 13, 1999</u>, entitled: <u>Electroplating Process Chamber And Method With Pre-Wetting And Rinsing Capability</u>.</p> <p>PRIOR APPLICATION INFORMATION: <u>Examiner E. Smith-Hicks Group Art Unit 1741</u></p>			
18. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below	
Name	David E. Steuber		Reg. No. 25,557
Attorneys for Applicant	Skjerven Morrill MacPherson LLP		
Address	25 Metro Drive, Suite 700		
City	San Jose	State	CA
Zip Code	95110		
Country:	United States	Telephone	(408) 453-9200
		Fax	(408) 453-7979

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
8	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$710.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))			+ \$260.00				
				BASIC FEE (37 CFR 1.16(a))	=	\$ 710.00		
				Total of above Calculations	=	\$ 710.00		
				Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=			
				TOTAL	=	\$ 710.00		

20. FEES: The Commissioner is hereby authorized to credit overpayments or charge the following fees to

Deposit Account No. **19-2386**:

- a. Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. Other: _____

NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> New correspondence address below	
NAME		
ADDRESS		
CITY	STATE	ZIP CODE
COUNTRY	TELEPHONE	FAX

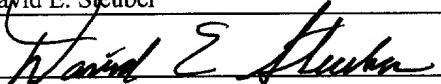
23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP

25 Metro Drive, Suite 700

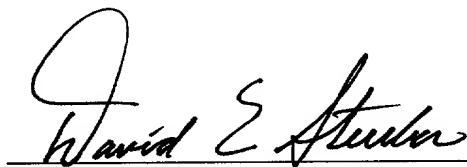
San Jose, CA 95110

Tel. (408) 453-9200 Fax. (408) 453-7979

Date:	April 9, 2001	
Name	David E. Steuber	Reg. No. 25,557
Signature		
Express Mail Label No.	EL 710 206 326 US	

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)		Inventors	Reid, Jonathan D.; Taatjes, Steven W.; Contolini, Robert J.; Patton, Evan E.
Title	Electroplating Process Chamber And Method With Pre-Wetting And Rinsing Capability		
		Atty Docket Number	M-5593-2C US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).



Date

David E. Steuber
Attorney for Applicants
Reg. No.: 25,557

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Contolini, Robert J.; Patton, Evan E.; Reid, Jonathan D.; Taatjes, Steven W.
Assignee: Novellus Systems, Inc.
Title: Electroplating Process Chamber And Method With Pre-Wetting And Rinsing Capability
Serial No.: Unknown Filing Date: Herewith
Examiner: Unknown Group Art Unit: Unknown
Docket No.: M-5593-2C US

San Jose, California
April 9, 2001

Box Patent Application
Attn: Official Draftsperson
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Applicants submit one (1) sheet of formal drawing, consisting of Figure 1, in the above-named application. If there are any questions regarding these drawings, please call the undersigned at (408) 453-9200.

EXPRESS MAIL LABEL NO:

EL 710 206 326 US

Respectfully submitted,


David E. Steuber
Attorney for Applicant(s)
Reg. No. 25,557

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP
25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979